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For Internal Use Only Submit 1 Original OMB Approval No. Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open-end Management Investment Company** 3. Class of New Derivative Securities Product: **Investment Company Units** 4. Name of Underlying Instrument: 19004311 Bloomberg Barclays US 5-10 Year Corporate Bond Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: SEC Mail Processing Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: SCHI 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the absorption and derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Trading and Market Trading and Markets Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act October 11, 2019 19b-4 Section SEC 2449 (1/99 Rule Public OCT 15 2019 Availability: